

STAFF REPORT

SUBJECT: Status Report on Obligation of Stimulus Funding

MEETING DATE: August 5, 2009

AGENDA ITEM: 6

STAFF CONTACT: Brittany Odermann and Sarkes Khachek

RECOMMENDATION:

Receive a report on the obligation status of roadway and transit ARRA (stimulus) funding in Santa Barbara County.

SUMMARY

SBCAG staff has produced a report that summarizes the obligation status of ARRA funded roadway and transit projects in Santa Barbara County. Tracking the obligation status of our stimulus funds closely is important since the state and federal ARRA legislation includes strict timely use of funds requirements and the funds are subject to lapse if these requirements are not met. The progress reports will be shared with the SBCAG Board and TTAC on a continuous basis through the summer and fall as we approach the obligation deadline set by the Board of October 15, 2009.

Caltrans has released guidelines for managing local assistance ARRA funding in order to ensure that no stimulus funding is lost to the state. The guidelines establish deadlines internal to the state that regions must meet to obligate their share of ARRA funds and allow enough time to redistribute any funding that may become available as a result of another agencies' inability to deliver their ARRA project(s). To be eligible for the redistribution of these unobligated funds and to protect funding in our region in case a local project experiences unforeseen delays, SBCAG will need to adopt a back-up list of projects that can use ARRA funding for construction without a lot of additional work. TTAC will adopt a recommended concept allocation plan or strategy on August 6 to determine which of these back-up projects will receive funding should it become necessary to re-program local ARRA funding within or region or should additional funding be redistributed from the state. The back-up list will be brought to the board in August or September for adoption.

DISCUSSION:

ARRA Status Report

The board has previously programmed our entire share of roadway stimulus funds of \$20.0 million: \$18.5 million to local agencies and \$1.5 million to Caltrans for the Cold Spring Bridge project. In addition, the board has programmed all of our regional share of transit stimulus funds of \$8.5 million to transit operators for capital projects.

It is important to track the obligation status of our stimulus funds closely since the state and federal ARRA legislation includes strict timely use of funds requirements and the funds are subject to lapse if these requirements are not met. Therefore, SBCAG staff has produced a progress report, consisting of Attachments 1 and 2, showing statewide progress in obligating funds and which agencies within the county have obligated ARRA funding. The report will be shared with the SBCAG Board and TTAC on a continuous basis through the summer and fall as

we approach the obligation deadline set by the Board of October 15, 2009. The report is based on information received from Caltrans Local Assistance, which tracks the status of ARRA funded projects through the federal process from programming to obligation. Attachment 3 is an additional table produced by Caltrans Local Assistance which provides information about the status of each individual ARRA funded project sponsored by each local agency. Caltrans continually updates this table and the information provided is as of Friday, July 31st. This table, along with Attachments 1 and 2, will be provided to the Board, its subregional committees, and TTAC starting in August.

Reallocation Plan

SBCAG may be faced with the need to allocate ARRA funding to new projects within the county for at least two reasons. First, in April, the SBCAG Board approved a plan for programming the ARRA funds in our county and established an internal deadline of October 15th for obligation to ensure timely delivery of all ARRA funded projects. Many jurisdictions have made significant progress toward October 15th obligation deadline; however it is important that TTAC develop and recommend to the board a contingency plan consisting of a back-up list of projects that could quickly obligate additional funding if a reallocation of unobligated funds internal to our county is carried out by the board as soon as October 15.

Second, Caltrans Local Assistance has released Guidelines for Managing ARRA funding in order to ensure that no stimulus funding is lost to the state. The guidelines establish a December 15th obligation deadline internal to the state that regions must meet to allow enough time to redistribute funding that may become available as a result of another region's inability to deliver their ARRA project(s). In order for SBCAG to be eligible for redistributed funding, we must submit to Caltrans by November 1:

- A report that includes a list of projects that have been obligated or will be obligated by January 1, 2010. The last date to submit a request for authorization to Caltrans to get funds obligated is December 15. (Essentially, we have to demonstrate to Caltrans in this report that we will obligate 100% of our stimulus funding by January 1.)
- A list of back-up projects that could be included in the FTIP and obligated by January 1, 2010.

The back-up list should therefore include projects that can be obligated between the local October 15th deadline and the state established deadline of December 15th for receiving obligation requests (requests for authorization).

An eligible back-up list project should:

- have NEPA clearance or be Categorical Exemption under NEPA;
- be "shovel ready" in terms of construction season/timing;
- be fully funded either with 100% federal funds or other available funding sources;
- have the ability to be "scalable" based on the level of redistributed funds available;
- be easily amended into the FTIP. It will be necessary to program back-up projects in the FTIP as place holders since the FTIP amendment process cannot be completed in the short turn around between the October 15th and December 15th deadlines.

At its August 6 meeting, TTAC will discuss a process or methodology that should be used to select back-up projects. Projects will have to be submitted to SBCAG staff no later than August 24th so that at the September TTAC meeting a summary of the jurisdictions and associated projects can be circulated and a back-up list recommended to the SBCAG board.

RECOMMENDATION:

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ATTACHMENTS

1. ARRA Obligation Progress in Santa Barbara County
2. Statewide ARRA Obligation Progress
3. Local Assistance Project Delivery Progress Report

**Santa Barbara County American Recovery and Reinvestment Act (ARRA) Allocation and 1511 Certification Summary
July 23rd TTAC Meeting**

ARRA ROADWAY PROJECTS

Jurisdiction	Funds Apportioned	Funds Obligated	Number of Projects Obligated	Remaining Funds to be Obligated
City of Carpinteria	\$596,535	\$0	0	\$596,535
City of Goleta	\$1,270,736	\$0	0	\$1,270,736
City of Lompoc	\$1,795,625	\$682,161	1	\$1,113,464
City of Santa Barbara	\$3,774,796	\$0	0	\$3,774,796
City of Santa Maria	\$4,667,852	\$0	0	\$4,667,852
City of Solvang	\$143,988	\$0	0	\$143,988
County of Santa Barbara	\$6,273,654	\$2,571,615	3	\$3,702,039
Caltrans - Cold Spring Bridge Project	\$1,500,000	\$0	0	\$1,500,000
Totals for Roadway Projects	\$20,023,186	\$3,253,776	4	\$16,769,410

ARRA Roadway Project Notes:

- Information from Caltrans Local Assistance ARRA webpage as of June 30, 2009.
- City of Santa Maria Apportioned Amount includes \$568,036 for ARRA TE allocation for Santa Maria Valley Railroad Multiuse Trail Phase 2.
- Second 1511 Certification for County of SB approved on July 23, 2009 for \$3,651,851.
- City of Goleta submitted 1511 Certification to state on July 27, 2009 for total apportionment \$1,270,736

ARRA TRANSIT PROJECTS

Transit Operator	Funds Apportioned	Funds Obligated	Number of Projects Obligated	Remaining Funds to be Obligated
FTA 5307 ARRA				
City of Lompoc Transit (COLT)	\$1,342,268	\$0	0	\$1,342,268
Santa Barbara Metropolitan Transit District (SBMTD)	\$4,314,864	\$4,314,864	3	\$0
Santa Maria Area Transit (SMAT)	\$2,548,629	\$0	0	\$2,548,629
FTA 5311 ARRA				
Guadalupe Flyer	\$279,311	\$0	0	\$279,311
Totals for Roadway Projects	\$8,485,072	\$4,314,864	3	\$4,170,208

ARRA Transit Project Notes:

- Information from FTA ARRA Grants Digest webpage as of July 17, 2009.
- SMAT Apportioned Amount includes \$120,689 for Guadalupe Flyer Bus Purchase.
- COLT and SMAT ARRA 5307 Grants submitted to FTA for approval/obligation. (COLT grant submitted on July 1st and SMAT grant submitted on June 19th)
- Guadalupe ARRA 5311 application approved by Caltrans Mass Transit and submitted to FTA for approval/obligation.

FFY 2008 - 2009
Economic Stimulus Delivery - Local Assistance
American Recovery and Reinvestment Act of 2009 - Highway Infrastructure Investment Grants
Based on CBARS/FMIS Transactions as of June 30, 2009

MPO/RTPA/Region	ARRA			Remaining Balance ARRA OA
	Total OA (All Apport.)	Obligation as of 6/30/09	Percent Target Delivered	
MTC Region				
MTC (SF Urban Zone Area)	188,374,068	141,801,000	75.3%	46,573,068
Antioch	4,952,058	3,082,000	62.2%	1,870,058
Concord	12,576,928	6,737,000	53.6%	5,839,928
SF-Oakland	73,478,407	36,360,000	49.5%	37,118,407
Santa Rosa	6,495,476	1,965,432	30.3%	4,530,044
San Jose (Urban Zone Area)	35,009,769	21,671,000	61.9%	13,338,769
MTC Region Total	320,886,706	211,616,432	65.9%	109,270,274
SACOG	76,535,136	41,299,646	54.0%	35,235,490
El Dorado	4,517,937	1,365,427	30.2%	3,152,510
Placer	6,434,492	-	0.0%	6,434,492
TRPA	2,423,969	-	0.0%	2,423,969
SCAG Region				
Los Angeles (SCAG)	239,382,409	153,319,806	64.0%	86,062,603
LA-Long Beach-Santa Ana	203,626,475	167,907,255	82.5%	35,719,220
Lancaster-Palmdale	5,997,609	416,000	6.9%	5,581,609
Thousand Oaks	891,544	-	0.0%	891,544
San Bernardino ⁽⁴⁾⁽⁶⁾	46,829,376	44,893,823	95.9%	1,935,553
Riverside-San Bernadino	17,433,770	17,433,770	100.0%	0
Victorville-Hesperia-Apple Valley	4,561,635	4,561,635	100.0%	0
LA-Long Beach-Santa Ana	12,106,804	12,106,804	100.0%	0
Riverside	44,377,132	1,850,000	4.2%	42,527,132
Riverside-San Bernadino ⁽⁴⁾	16,859,195	-	0.0%	16,859,195
Indio-Cathedral City	5,800,156	-	0.0%	5,800,156
Terreclula-Murrieta	5,230,145	-	0.0%	5,230,145
Orange	69,758,411	63,853,034	91.5%	5,905,377
Mission Viejo	12,130,655	-	0.0%	12,130,655
LA-Long Beach-Santa Ana	52,540,393	4,479,635	8.5%	48,060,758
Ventura	24,422,461	10,168,469	41.6%	14,253,992
Oxnard	7,683,086	2,735,171	35.6%	4,947,915
Thousand Oaks	3,910,285	-	0.0%	3,910,285
LA-Long Beach-Santa Ana	38,075	-	0.0%	38,075
Imperial (El Centro Urban Zone Area)	7,096,417	-	0.0%	7,096,417
SCAG Region Total	780,676,033	483,725,402	62.0%	296,950,631
AMBAG Region				
Monterey	19,688,996	7,427,809	37.7%	12,261,187
Santa Cruz	12,088,240	5,213,875	43.1%	6,874,365
San Benito	2,527,904	850,000	33.6%	1,677,904
AMBAG Region Total	34,305,140	13,491,684	39.3%	20,813,456
Alpine	163,358	-	0.0%	163,358
Amador	1,347,232	-	0.0%	1,347,232
Butte	9,662,955	5,500,000	56.9%	4,162,955
Calaveras	1,353,508	-	0.0%	1,353,508
Colusa	872,211	-	0.0%	872,211
Del Norte	1,305,780	-	0.0%	1,305,780
Fresno (COFCG)	37,050,447	-	0.0%	37,050,447
Glenn	1,249,119	-	0.0%	1,249,119
Humboldt	5,904,654	-	0.0%	5,904,654
Inyo	1,393,523	-	0.0%	1,393,523
Kern (Bakersfield) (KCOG)	31,267,198	-	0.0%	31,267,198
Kings (KCAG)	6,357,327	-	0.0%	6,357,327
Lake	2,508,899	813,600	32.4%	1,695,299
Lassen	1,566,077	-	0.0%	1,566,077
Madera (MCTC)	5,468,776	-	0.0%	5,468,776
Mariposa	578,881	-	0.0%	578,881
Mendocino	3,820,989	-	0.0%	3,820,989
Merced (MCAG)	10,190,874	532,125	5.2%	9,658,749
Modoc	607,615	-	0.0%	607,615
Mono	698,560	-	0.0%	698,560

FFY 2008 - 2009
Economic Stimulus Delivery - Local Assistance
American Recovery and Reinvestment Act of 2009 - Highway Infrastructure Investment Grants
Based on CBARS/FMIS Transactions as of June 30, 2009

MPO/RTPA/Region	ARRA			Remaining Balance ARRA OA
	Total OA (All Apport.)	Obligation as of 6/30/09	Percent Target Delivered	
Nevada	3,886,086	-	0.0%	3,886,086
Plumas	820,221	-	0.0%	820,221
San Diego (SANDAG)	131,431,968	-	0.0%	131,431,968
San Joaquin	26,225,542	10,448,655	39.8%	15,776,887
Modesto	229,748	-	0.0%	229,748
San Luis Obispo (SLOCOG)	11,676,740	-	0.0%	11,676,740
Santa Barbara (SBCAG)	20,023,186	3,253,846	16.3%	16,769,340
Shasta	7,477,934	2,819,000	37.7%	4,658,934
Sierra	220,623	-	0.0%	220,623
Siskiyou	2,123,513	1,521,499	71.7%	602,014
Stanislaus (Modesto) (StanCOG)	20,994,823	-	0.0%	20,994,823
Tehama	2,477,061	-	0.0%	2,477,061
Trinity	574,771	-	0.0%	574,771
Tulare (TCAG)	17,587,573	-	0.0%	17,587,573
Tuolumne	2,395,514	-	0.0%	2,395,514
Grand Total	1,597,292,699	776,387,316	48.6%	820,905,383

LIST OF PROJECTS RECEIVING RECOVERY ACT FUNDS

Local Agency	Federal Project No.	EA	Project Title/Description/Location	Phase (PE, R/W, Con)	ARRA \$	Field Review PES Received	NEPA	Final Request for Authorization/Obligation Package			Contract Award *	
								Submitted to H.Q.	H.Q. Approval	FHWA Approval		
											Month/Yr	
City of Carpinteria			Pavement Rehabilitation (various streets)	CON	\$596,535							
City of Goleta	ESPL 5481(007)	05-930199L	Street Surface Treatment (overlay Cathedral Oaks/Storke Road)	CON	\$1,270,736	04/07/09	06/05/09					
City of Lompoc	ESPL 5080(021)	05-930195L	Rehabilitation and Overlays (various streets)	CON	\$682,161	03/11/09	04/23/09	05/28/09	05/29/09	06/03/09	08/18/09	
City of Santa Barbara	ESPL 5007(046)	05-930200L	Pavement Overlay (various streets)	CON	\$1,034,052	03/19/09						
City of Santa Barbara			Pedestrian Facility Rehab. (various locations)	CON	\$400,000							
City of Santa Barbara	ESPL 5007(047)	05-930218L	Pedestrian countdown timers and traffic control batter backup, various locations	CON	\$300,000	05/26/09	06/22/09					
City of Santa Maria			Street Overlay/Reconstruction Phase 1	CON	\$2,156,816							
City of Santa Maria			Street Overlay/Reconstruction Phase 2	CON	\$1,473,000							
City of Santa Maria			Concrete Repair/Replacement	CON	\$470,000							
County of Santa Barbara	ESPL 5951(124)	05-930196L	Roadway Rehab (various roads)	CON	\$1,715,685	03/10/09	04/16/09	04/16/09	04/29/09	05/01/09	06/23/09	
County of Santa Barbara	ESPL 5951(126)	05-930198L	Hardscape Repair (various locations)	CON	\$606,118	03/10/09	04/16/09	04/16/09	04/29/09	05/01/09	06/23/09	
County of Santa Barbara	ESPL 5951(125)	05-930197L	Bridge Maint. Repair (various bridges)	CON	\$250,000	02/25/09	04/22/09	04/30/09	05/01/09	05/06/09	06/23/09	
County of Santa Barbara	ESPL 5951(128)	05-930217L	In Summerland installation of curb, gutter, and sidewalks	CON	\$454,837	04/29/09	06/08/09	07/29/09				
County of Santa Barbara	ESPL 5951(129)	05-930220L	UVP/Bradley Rd. Intersection improvements	CON	\$671,000	04/01/09	07/21/09					
County of Santa Barbara	ESPL 5951(130)	05-930224L	Old Town Orcutt	CON	\$300,000	06/05/09						
County of Santa Barbara	ESPL 5951(131)	05-930225L	Santa Ynez Bikelanes	CON	\$1,379,663	07/09/09						
County of Santa Barbara	ESPL 5951(132)	05-930226L	Modoc/Betteravia Overlay	CON	\$845,938	07/09/09						

> Field Review/Pes Received is one of the first steps in the federal obligation process, this step requires contact with local assistance to discuss the proposed project and determine what level of environmental review/document will be required.

> NEPA - National Environmental Protection Act, all ARRA projects are subject to NEPA review and must have NEPA clearance .

* Contact Award column is for information only. The date in the FHWA Approval column is used to determine if obligation deadlines have been met.