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## STAFF REPORT

**SUBJECT:** Existing Overall Work Program Amendments

**MEETING DATE:** April 20, 2006

**AGENDA ITEM:** 6 B

**STAFF CONTACT:** Jim Kemp/Michael Powers/Martha Gibbs

### RECOMMENDATION:

Approve by resolution an amendment to the current 2005 – 2006 Overall Work Program that adds \$366,000 in funding from a federal demonstration earmark and \$75,000 from state grant funds and shifts funding among work elements to reflect adjustments of work effort during the current fiscal year.

### SUMMARY:

Each year at this time staff examines the need to make adjustments to our Overall Work Program to address changes in funding and work tasks. The overall budget adjustments reflect receipt of new federal and state funds to offset expenditure of SBCAG and local funds. Adjustments between various work elements reflect changes in the intensity vs. the scope of the work undertaken by SBCAG.

### DISCUSSION:

Each year at this time staff examines the need to make adjustments to our Overall Work Program to address changes in funding and work tasks. In addition, to insure SBCAG maximizes the use of federal and state planning and discretionary grant funds before expending local funds, funds are reallocated as necessary to maximize use of these funds.

In the past few months SBCAG received notice that the federal demonstration earmark for the 101 In Motion program, provided at the request of Congressmember Lois Capps in the federal 2004-05 budget, was made available to reimburse work completed on this important project. Given delays in receiving the funds and federal requirements, only funds expended after August, 2005 are eligible for reimbursement. There is \$564,321 in federal funds available from the demonstration earmark for 101 In Motion. Staff expects to request reimbursement of approximately \$366,000 of staff and consultant costs this fiscal year. The project costs for 101 In Motion, estimated at \$483,500 this fiscal year is proposed to increase to \$550,000. This increase is based on delays in the project which moved significant consultant expenditures from

last fiscal year into this fiscal year. It should be emphasized that the overall consultant contract budget has not increased beyond the amount authorized by your board in 2003 and overall staff costs are tracking reasonably well with prior estimates. The increase in the FY 05/06 OWP budget will be offset by the increase in the federal grant revenues. The federal revenues are added into the budget and the SBCAG/Measure D contribution to these costs will be commensurately reduced by a like amount (approximately \$299,500). The chart below portrays the existing and the revised funding structure for this work element.

Existing funding sources for 101 IM Work Element

<b><u>Expenditures</u></b>		<b><u>Funding</u></b>	
SBCAG	\$183,500	SBCAG/Measure D	<u>\$483,500</u>
Consultant	<u>300,000</u>		
	<u>\$483,500</u>		

Revised funding sources and amount for 101 IM Work Element

<b><u>Expenditures</u></b>		<b><u>Funding</u></b>	
SBCAG	\$130,000	SBCAG/Measure D	\$184,000
Consultant	<u>420,000</u>	Federal Earmark	<u>\$366,000</u>
	<u>\$550,000</u>	Total	<u>\$550,000</u>

SBCAG also applied for a competitively based transit grant to assist in funding the preparation of the North County Transit Plan. SBCAG was successful and received a 5311(f) grant in the amount of \$75,000 for this project. The chart below portrays the existing and the revised funding structure for this work element.

Existing funding sources for North County Regional Transit Plan

<b><u>Budget</u></b>		<b><u>Funding</u></b>	
SBCAG	\$52,000	FTA 5303	\$16,800
Consultant	<u>90,000</u>	SBCAG	35,200
	<u>\$142,000</u>	Local Agencies	<u>90,000</u>
			<u>\$142,000</u>

Revised funding sources for North County Regional Transit Plan

<b><u>Budget</u></b>		<b><u>Funding</u></b>	
SBCAG	\$52,000	FTA 5303	\$16,800
Consultant	<u>90,000</u>	SBCAG	35,200
	<u>\$142,000</u>	Local Agencies	15,000
		FTA 5311(f) grant	<u>75,000</u>
			<u>\$142,000</u>

The major beneficiaries of this grant will be the local agencies who have to provide less local TDA funds for this project.

In addition to the above significant additions to revenues, some shifting around of revenues is also necessary among the various work elements. Some additional training was required of new staff involved with individual work elements such as the CMP and RTP so more funding was shifted to these elements. Delays encountered in preparing a revised RTP extended the need for consultant services to prepare the EIR so consultant costs were also added to the RTP Work Element. Other minor funding shifts are proposed with no expected impacts on work tasks. Table 1 reflects these various changes to the funding for the work elements. The final revised funding source table is attached as Table 2.

Another issue that will present itself during the last six months of the fiscal year is the termination of the contract with Fluor Corporation and the addition of a project engineer position on staff. Adjustments are necessary to make the current year OWP align itself with the already approved budget that accounted for this change. The addition of the new federal funding allows some general fund revenues to be shifted to the SAFE and LTA work elements to reflect this previous budgetary adjustment.

**COMMITTEE REVIEW:** None

**Attachments**

Table 1 FY 05-06 Overall Work Program, Proposed Revisions

Table 2 FY 05-06 Overall Work Program, Funding Sources

Resolution approving amendments to the FY 2005-06 OWP

**Table 1**

**FY 05-06 OVERALL WORK PROGRAM  
PROPOSED REVISIONS**

Number	Work Element	OWP Budget Amount	Proposed Revisions	Revised Budget
1610	Administration	102,900		102,900
1620	Subregional/Interregional Coordination	28,300		28,300
1630	Public Participation and Information	148,600	(32,500)	116,100
1640	TDA Administration	27,800		27,800
2610	Airport Land Use Planning	34,400		34,400
2620	Census Data Center	46,300		46,300
2630	Regional Growth Forecast	105,000	12,500	117,500
2640	Travel Demand Forecasting	150,300	(10,000)	140,300
3610	Regional Transportation Plan	39,100	45,000	84,100
3620	Highway Planning	47,300	(20,000)	27,300
3621	SR 246/Central Purisima Travel Forecast	47,300		47,300
3630	Transit/Paratransit Planning	85,900		85,900
3631	North County Regional Transit Study	142,000		142,000
3640	Passenger Rail Service Planning	27,400		27,400
3650	Bicycle/Pedestrian Planning	26,100		26,100
3670	ITS Development & Deployment	52,300	(15,000)	37,300
3680	Congestion Management Program	21,600	20,000	41,600
3681	Highway 101 Implementation Plan	483,500	66,500	550,000
3690	Transportation Improvement Program	317,300		317,300
4610	SIP Development	15,100		15,100
4620	Transportation/Air Quality Conformity	21,600		21,600
5610	Service Authority for Freeway Emergencies	107,700	9,100	116,800
5620	Local Transportation Authority	659,900	87,400	747,300
5630	TDM/Ridesharing Program	453,400		453,400
5340	Intercommunity Transit	1,077,500		1,077,500
	Totals	4,268,600	163,000	4,431,600

**Table 2**

**FY 2005-06 OVERALL WORK PROGRAM  
FUNDING SOURCES**

Work Element	FTA 5303		FHWA PL		Other ** Federal	State	Other Local	SBCAG*	Total
	Federal Share	Local Match	Federal Share	Local Match					
<b>1000 Program Administration</b>									
1610 Administration	5,000	648	85,500	11,077	0	0	0	675	102,900
1620 Subregional/Interregional Coordination	0	0	24,800	3,213	0	0	0	287	28,300
1630 Public Participation and Information	5,000	648	97,100	12,580	0	0	0	772	116,100
1640 TDA Administration	0	0	0	0	0	0	0	27,800	27,800
<b>2000 Comprehensive Planning/Analysis</b>									
2610 Airport Land Use Planning	0	0	0	0	0	0	15,000	19,400	34,400
2620 Census Data Center	4,000	518	36,700	4,755	0	0	0	327	46,300
2630 Regional Growth Forecast	10,000	1,296	93,400	12,102	0	0	0	702	117,500
2640 Travel Demand Forecasting	10,000	1,296	113,200	14,666	0	0	0	1,138	140,300
<b>3000 Transportation Planning and Programming</b>									
3610 Regional Transportation Plan	5,000	648	69,000	8,940	0	0	0	512	84,100
3620 Highway Planning	0	0	24,000	3,109	0	0	0	191	27,300
3621 SR246/Central/Purisima Feasibility Study	0	0	41,500	5,377	0	0	0	423	47,300
3630 Transit/Paratransit Planning	75,500	9,782	0	0	0	0	0	618	85,900
3631 North County Regional Transit Study	16,800	2,177	0	0	0	75,000	15,000	33,023	142,000
3640 Passenger Rail Service Planning	0	0	0	0	0	0	0	27,400	27,400
3650 Bicycle/Pedestrian Planning	0	0	22,900	2,967	0	0	0	233	26,100
3670 ITS Development & Deployment	0	0	32,800	4,250	0	0	0	250	37,300
3680 Congestion Management Program	0	0	36,600	4,742	0	0	0	258	41,600
3681 Highway 101 Implementation Plan	0	0	0	0	366,000	0	0	184,000	550,000
3690 Transportation Improvement Program	0	0	161,000	20,859	0	135,000	0	441	317,300
<b>4000 Air Quality Planning</b>									
4610 SIP Development	0	0	0	0	0	0	10,000	5,100	15,100
4620 Transportation/Air Quality Conformity	0	0	19,000	2,462	0	0	0	138	21,600
<b>5000 Program Delivery/Services</b>									
5610 Service Authority for Freeway Emergencies	0	0	0	0	0	0	0	116,800	116,800
5620 Local Transportation Authority	0	0	0	0	0	0	0	747,300	747,300
5630 TDM/Ridesharing Program	0	0	0	0	205,500	134,000	0	113,900	453,400
5640 Intercommunity Transit	0	0	0	0	166,000	125,500	133,000	653,000	1,077,500
<b>Total</b>	<b>131,300</b>	<b>17,013</b>	<b>857,500</b>	<b>111,099</b>	<b>737,500</b>	<b>469,500</b>	<b>173,000</b>	<b>1,934,688</b>	<b>4,431,600</b>

FY 05-06 Allocation	131,300	705,900
Carryover	0	151,600
Total Funding	<u>131,300</u>	<u>857,500</u>

\* Consists of, but is not limited to, Measure D funds; TDA planning allocations; interest earnings; SAFE motor vehicle fees, Clean Air Express fare revenues; and available fund balances.

\*\* Consists of demonstration project earmark funds.

RESOLUTION OF THE SANTA BARBARA  
COUNTY ASSOCIATION OF GOVERNMENTS

AMENDMENT OF THE 2005-06 )  
OVERALL WORK PROGRAM )  
\_\_\_\_\_ )

RESOLUTION NO. 06-0x

WHEREAS, the Santa Barbara County Association of Governments has been designated by the Governor as the Metropolitan Planning Organization (MPO) for Santa Barbara County and, as such, has been given the responsibility to conduct the metropolitan transportation planning process required by 23 U.S.C. 134 and Section 8 of the Federal Transit Act; and

WHEREAS, 23 CFR 450.314 specifies that the designated MPO shall annually develop and adopt a unified planning work program that meets the requirements of 23 CFR Part 450, Subpart A; and

WHEREAS, SBCAG adopted its 2005-06 OWP on April 21, 2005; and

WHEREAS, SBCAG received a \$75,000 FTA Section 5311 (f) grant for preparation of the North County Transit Plan

WHEREAS, the Highway 101 Implementation Plan (AKA 101 In Motion) is in SBCAG's 2002-03 Overall Work Program and funded with local funds;

WHEREAS, SBCAG was awarded a federal demonstration earmark grant of \$564,251 for Highway 101 In Motion;

WHEREAS, SBCAG plans to use these federal earmark funds over two fiscal years, allocating \$366,000 this fiscal year.

NOW, THEREFORE, IT IS HEREBY RESOLVED THAT the Santa Barbara County Association of Governments amends the 2005-06 Overall Work Program to:

- 1) Add new federal earmark demonstration funding in the amount of \$366,000 to the existing Work Element for the Highway 101 Implementation Plan,
- 2) Add Section 5311 (f) funds in the amount of \$75,000 for the existing Work Element for the preparation of the North County Transit Plan, and
- 3) Add \$45,000 for the update of the Regional Transportation Plan to pay for staff and consultant costs for preparation of EIR.
- 4) Shift funding among various work elements to reflect changes in emphasis of work tasks throughout the year.
- 5) Shift general fund revenues to the SAFE and LTA work elements to reflect a previous budgetary adjustment.

IT IS FURTHER RESOLVED THAT the Santa Barbara County Association of Governments certifies that its planning process will be implemented through the Overall Work Program in accordance with:

- (1) Section 134 of Title 23, U.S.C., Section 8 of the Federal Transit Act; 49 U.S.C. Chapter 53, Section 5303; and Title 23 CFR Part 450, Subpart C; Section 174 and 176(c) and (d) of the Clean Air Act [42 U.S.C. 7504, 7506(c) and (d)];
- (2) Title VI of the Civil Rights Act of 1964 and the Title VI assurance executed by each state under 23 U.S.C. 324 and 29 U.S.C. 794;
- (3) Section 1003(b) of the Transportation Equity Act for the 21<sup>st</sup> Century regarding the involvement of disadvantaged business enterprises in the FHWA and the FTA funded planning projects Pub. L.97.242, (sec. 105(f), 96 Stat. 2100; 49 CFR Part 23); and
- (4) The provisions of the Americans with Disabilities Act of 1990 (Pub. L. 101-336, 104 Stat. 327, as amended) and USDOT regulations "Transportation for Individuals with Disabilities" (49 CFR Parts 27, 37, and 38).

PASSED AND ADOPTED this 20th day of April, 2006 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

ATTEST:

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Jim Kemp  
Executive Director

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Joe Centeno, Chair  
Santa Barbara County Association  
of Governments

APPROVAL AS TO FORM:

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Kevin E. Ready, Sr.  
Deputy County Counsel