

## STAFF REPORT

**SUBJECT:** FTA Section 5311 Program of Projects

**MEETING DATE:** January 19, 2006

**AGENDA ITEM:** 12 D

**STAFF CONTACT:** John Asuncion

**RECOMMENDATION:**

Approve the Program of Projects for Federal Transit Administration (FTA) Non-Urbanized Area Transit fund (Section 5311) in Santa Barbara County for FY 2005-2006.

**DISCUSSION:**

Under Section 5311 of the Federal Transit Act, funds are made available for transit projects in non-urbanized areas. These funds are apportioned to each region on the basis of its non-urbanized area population. SBCAG is required to adopt a Program of Projects that includes all transit capital and operating projects that are proposed for Section 5311 funding. Staff is recommending that the board approve the attached program of projects.

SBCAG has received the apportionment figure for Fiscal Year 2005-2006 and has prepared the attached Program of Projects. The apportionment for FY 2005-2006 is \$176,050 and represents a \$107,675 increase over the FY 2004-2005 apportionment. There are two reasons for the substantial increase. First, the SAFETEA-LU federal legislation increased Section 5311 apportionments statewide. Second, discretionary Section 5311 funds, which are normally distributed annually on a competitive basis, were distributed on a formula basis for the first time. These two factors are responsible for the substantial increase in Section 5311 funds from the FY 2004-2005 apportionment.

This apportionment is divided between the two non-urbanized transit providers: the City of Guadalupe (Guadalupe Flyer) and the City of Solvang (Santa Ynez Valley Transit), based on the population served by the transit system. The Guadalupe Flyer and Santa Ynez Valley Transit are the only operators eligible for 5311 since they operate the only non-urbanized transit systems in Santa Barbara County. A summary of the proposed distribution is listed below.

<u>Recipient</u>	<u>Description</u>	<u>Funds to be Programmed</u>
Guadalupe	Operating Assistance-2005-2006	\$ 38,202
Solvang	Operating Assistance-2005-2006	\$137,848

These funds are used by the cities for the transit service operating costs. It is recommended that the board approve the attached program of projects.

**COMMITTEE REVIEW:** None

**ATTACHMENT:** FTA Section 5311 Program of Projects

Member Agencies

Buellton ■ Carpinteria ■ Goleta ■ Guadalupe ■ Lompoc ■ Santa Barbara ■ Santa Maria ■ Solvang ■ Santa Barbara County

**STATE OF CALIFORNIA  
FTA SECTION 5311**

**REGIONAL PROGRAM OF PROJECTS (POP)  
FEDERAL FISCAL YEAR 2006 – GRANT 24**



**All POP's are due to the District Transit Representative (DTR) by DECEMBER 31, 2005.**

*Regional Program of Projects (POP) receives final approval from Department of Transportation's Division of Mass Transportation (DMT). The DTR will notify the subrecipient of approval of programming in this cycle.*





<b>PART I. Regional Apportionment - Operating Assistance</b>					
	<b>SUBRECIPIENT</b>	<b>FY</b>	<b>OPERATING TIME PERIOD</b>	<b>NET PROJECT COST</b>	<b>FEDERAL SHARE</b>
1.	Solvang	05-06	July 1, 2005 to June 30, 2006	\$346,062.00	\$137,848.00
2.	Guadalupe	05-06	July 1, 2005 to June 30, 2006	\$228,809.00	\$38,202.00
3.					
4.					
5.					
<b>Operating Assistance – TOTAL:</b>				<b>\$574,871.00</b>	<b>\$176,050.00</b>

<b>PART II. Regional Apportionment - Capital</b>					
	<b>SUBRECIPIENT</b>	<b>PROJECT DESCRIPTION</b>	<b>ESTIMATED COMPLETION DATE</b>	<b>NET PROJECT COST</b>	<b>FEDERAL SHARE</b>
1.					
2.					
3.					
4.					
5.					
<b>Capital – TOTAL:</b>					

**For Flex Fund Projects - a complete application MUST be submitted with the POP.**

<b>Part III. FLEX FUNDS [i.e. CMAQ, STP, or Federalized STIP*] if applicable</b>					
	<b>SUBRECIPIENT</b>	<b>PROJECT DESCRIPTION</b>	<b>a. Fund Type b. FTIP Page Attached?</b>	<b>Net Project Cost</b>	<b>FEDERAL SHARE</b>
1.					
2.					
3.					
4.					
5.					
<b>Flex Fund – TOTAL:</b>					

**\*Federalized STIP projects must complete CTC allocation process by February 2006.**

## INSTRUCTIONS

### PART I – Operating Assistance

- Do not list previously approved projects (i.e. projects listed in a prior grant).
- Section 5311 Regional Apportionment [Operating Assistance] funding split:  
50% Local Share and 50% Federal Share.
- Third Party Contract requirement – all documents used for third party contracts must contain federal clauses required under FTA Circular 4220.1E and approved by the State prior to bid release.
- May apply for two years, but each year must be listed separately.
- Net project cost does not include ineligible cost i.e. farebox, etc.

### PART II – Capital

- **All** vehicles procured with Section 5311 program funds must be ADA accessible regardless of service type (fixed route or demand-response service) and procurement type (local or State contract).
- Capital projects must contain a full description of project: Quantity, vehicle type (van, bus, trolley), vehicle length (i.e. 35 ft.), passenger capacity, fuel type; procurement type; purpose (replacement or expansion of fleet); other (facility or shelter - include specifics).
- Section 5311 Regional Apportionment [Capital] funding splits:
  - Equipment, Shelters, Facilities 20.00% Local Share and 80.00% Federal Share
  - Vehicles 17.00% Local Share and 83.00% Federal Share
  - Planning Studies 20.00% Local Share and 80.00% Federal Share
- Procurement Contract requirement – all documents used for procuring capital projects must contain federal clauses required under FTA Circular 4220.1E and approved by the State prior to bid release.

Grant 24 (FFY 2006) **regional apportionment** project applications **must be submitted to the DTR by no later than: June 30, 2007**. If an application is not received June 30, 2007, programmed funds will be redistributed to the State Discretionary Reserve.

### Part III. Section 5311 FLEXIBLE FUNDS [i.e. CMAQ, STP, or Federalized STIP\*] if applicable:

- Project description and associated dollar amounts must be consistent with attached federally approved TIP page.
- Federalized STIP\* projects must provide California Transportation Commission allocation date of approval. **All CTC allocation activities must be completed by FEBRUARY 2006 for a project to be included in this grant.**
- Funding split for all eligible projects: 11.47% Local Share and 88.53% Federal Share
- Flexible Fund transfers will be initiated by HQs Liaison.

Grant 24 (FFY 2006) **flexible funded** project applications **must be submitted [with the POP] to the DTR by December 31, 2005**.

### **Resources / Guidance:**

- ✓ Application and POP form is available: [http://www.dot.ca.gov/hq/MassTrans/Regional\\_5311.htm](http://www.dot.ca.gov/hq/MassTrans/Regional_5311.htm)
- ✓ Section 5311 Handbook is available: [http://www.dot.ca.gov/hq/MassTrans/annual\\_5311.htm](http://www.dot.ca.gov/hq/MassTrans/annual_5311.htm)