

STAFF REPORT

SUBJECT: 2007 Federal Transportation Improvement Program

MEETING DATE: June 19, 2008

AGENDA ITEM: 6H

STAFF CONTACT: Sarkes Khachek

RECOMMENDATION:

Adopt FTIP amendment #18 to increase funding for projects in the Highway Bridge Program Lump Sum Category, add a project for improvements on State Route 1 in the City of Guadalupe and add a project for Santa Maria Area Transit.

SUMMARY:

Caltrans has notified SBCAG of funding changes to programs included in SBCAG's Federal Transportation Improvement Program. SBCAG's role is to amend the funding changes into the Federal Transportation Improvement Program—a single document that the public and government agencies can review to understand how, where and when federal funding and state funding on regionally significant projects will be spent in Santa Barbara County. An accurate project description, including scope and funding allocated, must be listed in the FTIP before federal funds can be expended on the project. The projects affected through this amendment are those that receive funding from the Highway Bridge Program, Federal Transit Administration 5307 Urbanized Area Program, and a federal earmark from TEA 21 Transportation Bill.

DISCUSSION:

As the federally designated Metropolitan Planning Organization for Santa Barbara County, SBCAG is responsible for preparing and adopting the Federal Transportation Improvement Program. The FTIP identifies all federally funded highway, transit and other surface transportation projects in the county, along with their federal funding sources, that are scheduled for implementation in the next six years. The FTIP serves as the sole reference document that the federal government, Caltrans and the public can review to understand where, when and how federal transportation funds are being spent in Santa Barbara County, including those that SBCAG does and does not have programming discretion over. The FTIP requires periodic amending following its biennial adoption to reflect changes such as project additions, deletions, scope revisions and securing of additional federal funds by SBCAG and other agencies. The federal government requires that significant changes to the cost, scope or schedule of a project be approved through a formal amendment to the FTIP voted on by the SBCAG Board.

This staff report includes a formal amendment for the projects or programs described below.

Highway Bridge Program Lump Sum – Increase Lump Sum Amount (SBCAG ID: CT14)

Additional federal funding has been made available for the Highway Bridge Program in Santa Barbara County. The purpose of the program is to replace or rehabilitate public highway bridges over waterways, other topographical barriers, other highways, or railroads when the State and the Federal Highway Administration determine that a bridge is significantly important and is unsafe because of structural deficiencies, physical deterioration, or functional obsolescence.

The federal government provides funding for bridge repairs by local agencies as authorized by SAFETEA-LU. The funds are administered by Caltrans and local agencies apply to Caltrans to participate in the program and be reimbursed. SBCAG's role in the program is to record the expenditure of federal funds on bridge projects in the county in the FTIP.

Reimbursable bridge work includes replacement, rehabilitation, painting, scour countermeasure, bridge approach barrier and railing replacement, low water crossing replacement, and ferry service replacement. The federal reimbursement rate is 88.53% of the eligible participating project costs including preliminary engineering, right of way, and construction costs. The local match requirement is 11.47%.

Projects in this program are generally not itemized or listed individually in the FTIP. The funding programmed to them is aggregated and treated as a single project entry or "lump sum" in the FTIP. The lump sum listing provides flexibility in advancing projects within this list of projects.

Caltrans has recently notified SBCAG that additional funding and capacity are available in the current federal fiscal year 07/08 for the Tepusquet Bridge project in Santa Barbara County. County staff submitted a letter requesting that the additional federal funding be amended into the current FTIP for the Highway Bridge Program in order to have access to the funds in the current federal fiscal year. The additional \$10.3 million will increase the total amount of funding in the highway bridge program lump sum to \$79.743 million. This new total must be reflected in the FTIP before the county can authorize expenditure of the funds.

City of Guadalupe – Rehabilitate State Route 1 – Add Project (SBCAG ID: GUAD9)

The City of Guadalupe was awarded a federal earmark through the TEA 21 federal transportation bill over five years ago to rehabilitate State Route 1 in Guadalupe. The project was included in the FTIP at the time the earmark was received, but because the city had not identified a project with a federally reimbursable scope of work, the city didn't request that the project be included in subsequent updates of the FTIP. SBCAG staff is working with Caltrans and the City to develop a project and secure the funds before the funds lapse on September 30, 2008. The project will include sidewalk and drainage improvements. The total project cost is \$468,750, and the federal amount for this project is 80% or \$375,000. The local match requirement is 20% or \$93,750. The project is being programmed in fiscal year 08/09. In order for the funds to be utilized

before the lapse deadline, the first order of business is to amend the project back into the FTIP. All federally funded projects in county must be reflected in the FTIP before funds can be authorized for use and expended..

City of Santa Maria – Capital Cost of Contracting – Add Project (SBCAG ID: SM31)

The City of Santa Maria has requested that SBCAG add a project related to the capital cost of contracting Santa Maria Area Transit to the Federal Transportation Improvement Program. This project consists of funding vehicle overhaul work performed by the City's transit maintenance contractor (Santa Maria Diesel). The total project cost is \$396,000, and the federal amount for this project is \$316,000. The local match requirement is 20% or \$80,000. The project is being programmed in fiscal year 07/08.

RECOMMENDATION:

Adopt a resolution approving FTIP amendment #18 to increase funding for projects in the Highway Bridge Program Lump Sum Category, add a project for Improvements on State Route 1 in the City of Guadalupe and add a project for Santa Maria Area Transit.

Attachments:

Attachment A - Amendment #18 Resolution 08-12

Attachment B – EZ Trak FTIP Report

Attachment C – Request from County of Santa Barbara for the Highway Bridge Program

A RESOLUTION OF THE SANTA BARBARA
COUNTY ASSOCIATION OF GOVERNMENTS

AMENDING THE 2007 FEDERAL)
TRANSPORTATION IMPROVEMENT)
PROGRAM TO INCREASE FUNDING IN)
HIGHWAY BRIDGE PROGRAM LUMP)
SUM CATEGOR, ADD A CAPITAL TRANSIT)
PROJECT FOR SANTA MARIA AREA TRANSIT)
AND ADD A PROJECT FOR IMPROVEMENTS
ON STATE ROUTE 1 IN THE CITY OF)
GUADALUPE)

RESOLUTION NO. 08-12

WHEREAS, Title 23 Code of Federal Regulations, part 450, and Title 49 Code of Federal Regulations, part 613, require the preparation and updating of a Federal Transportation Improvement Program (FTIP) by the Metropolitan Planning Organization; and

WHEREAS, the Santa Barbara County Association of Governments (SBCAG) has been designated as the Metropolitan Planning Organization for Santa Barbara County; and

WHEREAS, SBCAG, through the conduct of a continuing, comprehensive, and coordinated transportation planning process with applicable federal requirements, has prepared a 2007 FTIP; and

WHEREAS, the 2007 FTIP has been prepared in cooperation with state and local government agencies including Caltrans, local transit operators; and the Air Pollution Control District; and

WHEREAS, the 2007 FTIP must be consistent with the Regional Transportation Plan adopted pursuant to Government Code Section 6508; and

WHEREAS, the 2007 FTIP projects have been developed from the 2001 RTP, 2003 CMP and 2006 STIP; and

WHEREAS, all projects proposed for federal funding or approval under Title 23 Code of Federal Regulations and the Federal Transit Act must be included in a transportation improvement program; and

WHEREAS, because Santa Barbara County attained the federal eight-hour ozone standard, it is no longer required to follow air quality conformity measures nor the applicable State Implementation Plan (SIP) as required by the federal Clean Air Act (42 U.S.C. Section 7401 et seq.); and

WHEREAS, the 2007 FTIP was made available for public review and members of the public were given a reasonable opportunity to review the 2007 FTIP before it was adopted by the SBCAG Board on July 20, 2006; and

WHEREAS, revisions to the FTIP requested by Caltrans in order to incorporate the FTIP into the statewide FTIP by the end of the federal fiscal year were adopted by the SBCAG Board on September 30, 2006 after public review;

WHEREAS, the 2007 FTIP is financially constrained and funds needed to complete the 2007 FTIP are reasonably expected to be available.

NOW, THEREFORE, BE IT RESOLVED that the SBCAG Board of Directors amends the 2007 FTIP to include the changes identified in the Staff Report Item 6h, June 19, 2008.

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that development of this amendment was made in accordance with public involvement procedures originally adopted by SBCAG on November 10, 1994 and updated on March 24, 2002; and

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that the amended 2007 FTIP is consistent with the 2004 MTP.

BE IT FURTHER RESOLVED that the 2007 FTIP as amended is financially constrained and funds needed to complete the 2007 FTIP are reasonably expected to be available.

BE IT FURTHER RESOLVED that the inclusion of any federally-funded projects in the 2007 FTIP, including all amendments, constitutes the federal project selection procedures for Santa Barbara County, and any projects programmed in the FTIP may proceed to implementation without further project selection action by SBCAG.

PASSED AND ADOPTED this 19th day of June 2008 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

ATTEST:

Jim Kemp
Executive Director
Santa Barbara County
Association of Governments

Brooks Firestone
Chair
Santa Barbara County
Association of Governments

APPROVED AS TO FORM:

Kevin E. Ready, Sr.
Deputy County Counsel

Santa Barbara County Association of Governments
2009 Federal Transportation Improvement Project List

SBCAG ID **CT14**

Implementing Agency **Caltrans**

SBCAG ID # **CT14** Last Revised **Amendment 18**

Project Manager **Mike Giuliano** Phone **(805) 592-4606**

Estimated Total Project Cost **\$0** RTP ID Number **n/a**

Project Title **Lump Sum - Local Agency - HBRR and Seismic Project**

Project Description **Non-capacity increasing projs only (includes seismic retrofit). Projects are consistent with 40 CFR part 93.126, 127, 128, exempt tables 2&3**

| Fiscal Year | Revenue Source | Engineering | Right of Way | Construction | Total Revenue |
|-------------|---------------------------------------|-------------|--------------|---------------------|---------------------|
| 05/06 | HBRR | | | \$3,987,609 | \$3,987,609 |
| 05/06 | Loc Funds - Local Trans. Funds | | | \$855,891 | \$855,891 |
| 06/07 | HBRR | | | \$7,673,966 | \$7,673,966 |
| 06/07 | Loc Funds - Local Trans. Funds | | | \$1,211,035 | \$1,211,035 |
| 07/08 | HBRR | | | \$2,591,649 | \$2,591,649 |
| 07/08 | Loc Funds - Local Trans. Funds | | | \$336,194 | \$336,194 |
| 07/08 | Local Bridge Seismic Retrofit Account | | | \$13,649 | \$13,649 |
| 08/09 | HBRR | | | \$154,928 | \$154,928 |
| 08/09 | Loc Funds - Local Trans. Funds | | | \$20,073 | \$20,073 |
| 09/10 | HBRR | | | \$9,441,282 | \$9,441,282 |
| 09/10 | Loc Funds - Local Trans. Funds | | | \$1,181,410 | \$1,181,410 |
| 09/10 | Local Bridge Seismic Retrofit Account | | | \$41,808 | \$41,808 |
| 10/11 | HBRR | | | \$1,744,041 | \$1,744,041 |
| 10/11 | Loc Funds - Local Trans. Funds | | | \$61,938 | \$61,938 |
| 10/11 | Local Bridge Seismic Retrofit Account | | | \$164,021 | \$164,021 |
| 11/12 | HBRR | | | \$2,297,354 | \$2,297,354 |
| 11/12 | Local Bridge Seismic Retrofit Account | | | \$297,647 | \$297,647 |
| 98/99 | Future Funds | | | \$42,201,366 | \$42,201,366 |
| 98/99 | Future Funds | | | \$926,661 | \$926,661 |
| 98/99 | Future Funds | | | \$4,540,973 | \$4,540,973 |
| | | \$0 | \$0 | \$79,743,495 | \$79,743,495 |

Federal

Total Cost **\$79,743,495**

Santa Barbara County Association of Governments
2009 Federal Transportation Improvement Project List

Previously Approved FTIP

SBCAG ID # **CT14** Last Revised **Amendment 16**

Project Manager **Carolyn Galloway Cooper** Phone **(916) 653-9281**

Estimated Total Project Cost **\$0** RTP ID Number **n/a**

Project Title **Lump Sum - Local Agency - HBRR and Seismic Project**

Project Description **Non-capacity increasing projs only (includes seismic retrofit). Projects are consistent with 40 CFR part 93.126, 127, 128, exempt tables 2&3**

| Fiscal Year | Revenue Source | Engineering | Right of Way | Construction | Total Revenue |
|-------------|---------------------------------------|-------------|--------------|---------------------|---------------------|
| 05/06 | HBRR | | | \$3,987,609 | \$3,987,609 |
| 05/06 | Loc Funds - Local Trans. Funds | | | \$855,891 | \$855,891 |
| 06/07 | HBRR | | | \$7,673,966 | \$7,673,966 |
| 06/07 | Loc Funds - Local Trans. Funds | | | \$1,211,035 | \$1,211,035 |
| 07/08 | HBRR | | | \$2,431,034 | \$2,431,034 |
| 07/08 | Loc Funds - Local Trans. Funds | | | \$301,317 | \$301,317 |
| 07/08 | Local Bridge Seismic Retrofit Account | | | \$13,649 | \$13,649 |
| 08/09 | HBRR | | | \$154,928 | \$154,928 |
| 08/09 | Loc Funds - Local Trans. Funds | | | \$20,073 | \$20,073 |
| 09/10 | HBRR | | | \$322,692 | \$322,692 |
| 09/10 | Local Bridge Seismic Retrofit Account | | | \$41,808 | \$41,808 |
| 10/11 | HBRR | | | \$1,744,041 | \$1,744,041 |
| 10/11 | Loc Funds - Local Trans. Funds | | | \$61,938 | \$61,938 |
| 10/11 | Local Bridge Seismic Retrofit Account | | | \$164,021 | \$164,021 |
| 11/12 | HBRR | | | \$2,297,354 | \$2,297,354 |
| 11/12 | Local Bridge Seismic Retrofit Account | | | \$297,647 | \$297,647 |
| 98/99 | Future Funds | | | \$5,722,383 | \$5,722,383 |
| 98/99 | Future Funds | | | \$51,319,956 | \$51,319,956 |
| 98/99 | Future Funds | | | \$926,661 | \$926,661 |
| | | \$0 | \$0 | \$79,548,003 | \$79,548,003 |

Federal Project

Total Cost **\$79,548,003**

SBCAG ID **GUAD09**

Implementing Agency **Guadalupe, City of**

SBCAG ID # **GUAD09** Last Revised **Amendment 18**

Project Manager **Carolyn Galloway Cooper** Phone **(805) 343-1340**

Estimated Total Project Cost **\$468,750** RTP ID Number **n/a**

Project Title **Rehabilitate State Route 1 in Guadalupe**

Project Description **Rehabilitate State Route 1 in Guadalupe.**

| Fiscal Year | Revenue Source | Engineering | Right of Way | Construction | Total Revenue |
|-------------|------------------------|-----------------|--------------|------------------|------------------|
| 08/09 | DEMO - TEA 21 | \$57,600 | | \$317,400 | \$375,000 |
| 08/09 | Loc Funds - City Funds | \$14,400 | | \$79,350 | \$93,750 |
| | | \$72,000 | \$0 | \$396,750 | \$468,750 |

Federal

Total Cost **\$468,750**

**Santa Barbara County Association of Governments
2009 Federal Transportation Improvement Project List**

SBCAG ID **SM31** Implementing Agency **Santa Maria, City of**

SBCAG ID #
SM31

Last Revised
Amendment 18

Project Manager
Austin O'Dell

Phone
(805) 925-0951

Estimated Total Project Cost
\$396,000

RTP ID Number
n/a

Project Title
Capital Cost of Contracting - SMAT

| Fiscal Year | Revenue Source | Engineering | Right of Way | Construction | Total Revenue |
|-------------|----------------|-------------|--------------|--------------|---------------|
| 07/08 | FTA 5307 | | | \$316,000 | \$316,000 |
| 07/08 | TDA | | | \$80,000 | \$80,000 |
| | | \$0 | \$0 | \$396,000 | \$396,000 |

Project Description
This activity would be used to fund the cost of overhaul work performed by the City's transit maintenance contractor (Santa Maria Diesel), which equates to \$660,000 (NTD RY 2007).

Federal Total Cost **\$396,000**

COUNTY OF SANTA BARBARA
PUBLIC WORKS DEPARTMENT
123 East Anapamu Street
Santa Barbara, CA 93101
(805) 568-3000 FAX (805) 568-3019



SCOTT D. MCGOLPIN
Director

James Kemp
Executive Director of SBCAG
260 N. San Antonio Road, Suite B
Santa Barbara, CA 93110

June 9, 2008

Re: Tepusquet Road Bridge Project

Dear Jim,

Thank you for having your staff work with Caltrans Local Assistance and FHWA staff on the possibility of preparing a formal FTIP amendment for the County's Highway Bridge Program (HBP) Tepusquet Road Bridge Project to move construction funding into Federal Fiscal Year 2007/08. This project has been a high priority for the residents of the County as is evidence by Senator Maldonado's interest in the project. The County appreciates you and your staff's recognition of the priority of this project to all of us.

The County would like SBCAG to pursue this formal FTIP amendment and to hear this item at their June 19, 2008 meeting.

The County is working diligently to authorize the construction phase for this project in Federal Fiscal Year 2007/08. If we are unable to authorize the construction phase of the project, we have been informed by Caltrans Local Assistance that this FTIP amendment will not jeopardize the County's ability to seek funding for this project in Federal Fiscal Year 2008/09.

Thank you again for your efforts on this high priority project for the County. If you need any additional information or have any questions, please do not hesitate to contact me at 805-568-3010.

Sincerely

A handwritten signature in black ink, appearing to read "Scott D. McGolpin".

Scott D. McGolpin, P.E.
Director of Public Works
County of Santa Barbara

Cc: Joseph Centeno – Fifth District Supervisor
Mike Brown – County Executive Officer
John Baker – Deputy County Executive Officer
AA/EEO Employer