

STAFF REPORT

SUBJECT: FTA Section 5311 Program of Projects

MEETING DATE: January 19, 2012

AGENDA ITEM: 7A

STAFF CONTACT: Sarkes Khachek

RECOMMENDATION:

- A. Approve the Program of Projects for Federal Transit Administration non-urbanized area transit funds (Section 5311) in Santa Barbara County for FY 2011/12.
- B. Approve Formal Amendment #15 to the 2011 FTIP to program FTA 5311 funding for FY 11/12.

SUMMARY:

Under Section 5311 of the Federal Transit Act, funds are made available annually for transit projects in non-urbanized areas. In California, the Section 5311 program is administered by Caltrans Division of Mass Transit with funds apportioned to each region on the basis of non-urbanized area population. Each year SBCAG is required to adopt a Program of Projects that includes all transit capital and operating projects that are proposed for Section 5311 funding. Staff is recommending that the board approve the programming of \$163,236 in 5311 funding with \$128,303 apportioned to Solvang for operation of Santa Ynez Valley Transit and \$34,933 apportioned to Guadalupe for operation of the Guadalupe Flyer.

DISCUSSION:

SBCAG has received the FTA 5311 apportionment figure for Fiscal Year 2011/12 and has prepared the attached Program of Projects. The estimated apportionment for FY 2011/12 is \$163,236 and is divided between the City of Guadalupe (Guadalupe Flyer) and the City of Solvang (Santa Ynez Valley Transit - SYVT), based on the population served by the transit systems. The population figures used to determine funding amounts are taken from the California Department of Finance (2010).

The Guadalupe Flyer and Santa Ynez Valley Transit are the only operators receiving 5311 funds in Santa Barbara County. The operators (Cities of Guadalupe and Solvang) have requested that all of the 5311 funds be programmed for operating assistance. A summary of the proposed distribution is listed below.

Recipient	Project	Funds to be programmed
Guadalupe	FY 11/12 Operations	\$34,933
Solvang	FY 11/12 Operations	\$128,303
	Total	\$163,236

Member Agencies

Buellton ■ Carpinteria ■ Goleta ■ Guadalupe ■ Lompoc ■ Santa Barbara ■ Santa Maria ■ Solvang ■ Santa Barbara County

It is recommended that the board approve the attached program of projects and Formal Amendment #15 to the FTIP for submittal to Caltrans. The 5311 funding must be amended into the FTIP in order for the agencies to receive the funds for their transit operations. SBCAG is statutorily exempt pursuant to Public Resources Code section 21080(b)(13) and will be filing a notice of exemption for these projects.

ATTACHMENTS:

- A. FTA Section 5311 Program of Projects – Federal Fiscal Year 2011/12
- B. EZ Trak Report Amendment #15
- C. SBCAG Resolution 12-01

**CALIFORNIA DEPARTMENT OF TRANSPORTATION
DIVISION OF MASS TRANSPORTATION
Rural Transit and Intercity Bus Branch**

**FEDERAL TRANSIT ADMINISTRATION (FTA)
SECTION 5311 REGIONAL PROGRAM OF PROJECTS (*POP)
FEDERAL FISCAL YEAR 2012**



*POP's for the Expedited Applications are due to the District Transit Representative (DTR) by December 15, 2011; POP's for All Normal Process Operating Applications and Capital Applications are due to DTR by December 30, 2011. However, if there are issues meeting the deadlines, please notify your DTR and HQ liaison as soon as possible. In order to submit the grant request to FTA, all supporting documents must be submitted to the DMT by February 6, 2012.

Regional Program of Projects (POP) receives final approval from Department of Transportation's Division of Mass Transportation (DMT). The DTR will notify the subrecipient of approval of programming in this cycle.



FEDERAL FISCAL YEAR 2012

Section 5311 Program of Projects (POP)

(A) Available Funding:

	Carryover: (+)	\$128,303	
		(SYVT 10/11 Carryover)	
<i>Estimated</i> Apportionment [FFY 2012]: (+)		<u>\$163,236</u>	
(A) TOTAL FUNDS AVAILABLE: (=)		<u>\$291,539</u>	

(B) Programming (POP): Complete Parts I and II

		<i>Federal Share</i>
Part I. Operating Assistance - Total: (+)		<u>\$163,236</u>
Part II. Capital - Total: (+)		<u>0</u>
(B) Total [Programmed]: (=)		<u>\$163,236</u>

(C) Balance

		<i>Federal Share</i>
(A) Total Funds Available: (+)		<u>\$291,539</u>
(B) Total [Programmed]: (-)		<u>\$163,236</u>
* Balance: (=)		<u>128,303</u>

***BALANCE – Regional Apportionment Funds ONLY:**

- Please Note -
 - funds must be programmed in subsequent year
 - final approval to be determined by the Department
- Request/Letter to carryover funds should include -
 - justification for programming postponement
 - purpose and project plan
 - letter of support from local Transportation Planning Agency

(D) Flexible Funds(CMAO, STP or Federalized STIP): Complete Part III (For reference only).

Request for transfer will be applied for directly through the District - Local Assistance District Engineer, and Headquarters' Division of Local Assistance. Division of Mass Transportation will receive a conformation once the transfer is completed.

		<i>Federal Share</i>
(D) Part III. Flex Fund - Total:		<u>N/A</u>

FUNDING SUMMARY

		<i>Federal Share</i>
(B) Regional Apportioned - Total [Programmed]: (+)		<u>\$163,236</u>
(D) Flex Fund - Total: (+)		<u>0</u>
GRAND TOTAL [Programmed]: (=)		<u>\$163,236</u>

Contact Person/Title: Sarkes Khachek/ Transportation PlannerDate: 12/15/2011Phone Number: 805-961-8913

Statewide Transportation Improvement Program (STIP) –

All federal funds to be used for transit projects must be included in a federally approved STIP. A Transportation Planning Agency (TPA) must ensure that Section 5311 projects are included in the Department of Transportation’s (Department) Statewide Transportation Federal Improvement Program (FSTIP), which is jointly approved by the Federal Highway Administration (FHWA) and FTA.

A copy of the federally approved STIP Page must be attached for all projects to be programmed through the Section 5311 program. The project description and associated dollar amounts must be consistent with the federally approved STIP information.

Metropolitan Planning Organizations (MPOs) are responsible for programming projects within their jurisdiction. Upon receiving the POPs from the Districts, Rural Transit & Procurement staff will submit **Non-MPO / Rural Transportation** organizations projects directly to the Department’s Division of Transportation Programming for inclusion into the FSTIP.

For further guidance see the Department’s Division of Transportation Programming website:

<http://www.dot.ca.gov/hq/transprog/fedpgm.htm>

Subrecipient	Project Description	Federal Share	Local Share (Excluding Toll Credit)	Toll Credit Amount	Net Project Cost	PROGRAM OF PROJECTS DOC YR	PROGRAMMED DATE OR AMENDMENT #
Guadalupe	FY 11/12 Operations	\$34,933	\$347,127	0	\$382,150	2012	15
Solvang	FY 11/12 Operations	\$128,303	\$893,761	0	\$1,022,064	2012	15
	Operating Assistance Funds Total						

PART I. Regional Apportionment - Operating Assistance

For all Operating Projects - a complete application MUST be submitted with this POP.

Santa Barbara County Association of Governments
2011 Federal Transportation Improvement Project List

SBCAG ID **GUAD4** Implementing Agency **Guadalupe, City of**

SBCAG ID # **GUAD4** Last Revised **Amendment 15**
 Project Manager **Jim Talbott** Phone **(805) 922-8476**
 Estimated Total Project Cost **\$524,000** RTP ID Number **Gu 3**
 Project Title **Guadalupe - Transit Operating Assistance**
 Project Description **Guadalupe - Transit operating assistance for non-urbanized areas**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$15.000	\$15.000
05/06	FTA 5311			\$18.000	\$18.000
06/07	FTA 5311			\$34.000	\$34.000
06/07	TDA			\$213.000	\$213.000
07/08	FTA 5311			\$37.000	\$37.000
08/09	FTA 5311			\$39.000	\$39.000
09/10	FTA 5311			\$35.000	\$35.000
10/11	FTA 5311			\$35.000	\$35.000
10/11	TDA			\$28.000	\$28.000
11/12	FTA 5311			\$35.000	\$35.000
11/12	TDA			\$35.000	\$35.000
\$0				\$0	\$524,000

Federal Total Cost **\$524,000**

Previously Approved FTIP

SBCAG ID # **GUAD4** Last Revised **Amendment 3**
 Project Manager **Jim Talbott** Phone **(805) 922-8476**
 Estimated Total Project Cost **\$356,000** RTP ID Number **Gu 3**
 Project Title **Guadalupe - Transit Operating Assistance**
 Project Description **Guadalupe - Transit operating assistance for non-urbanized areas**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$15.000	\$15.000
05/06	FTA 5311			\$18.000	\$18.000
06/07	FTA 5311			\$34.000	\$34.000
06/07	TDA			\$213.000	\$213.000
07/08	FTA 5311			\$37.000	\$37.000
08/09	FTA 5311			\$39.000	\$39.000
09/10	FTA 5311			\$35.000	\$35.000
10/11	FTA 5311			\$35.000	\$35.000
10/11	TDA			\$28.000	\$28.000
\$0				\$0	\$454,000

Federal Project Total Cost **\$454,000**

Santa Barbara County Association of Governments
2011 Federal Transportation Improvement Project List

SBCAG ID **SOLVANG02** Implementing Agency **Solvang, City of**

SBCAG ID # **SOLVANG02** Last Revised **Amendment 15**

Project Manager **Matt Van Der Linden** Phone **(805) 688-5575**

Estimated Total Project Cost **\$1,912,600** RTP ID Number **SYVT 1**

Project Title **Transit Operating Assistance**

Project Description **City of Solvang - Transit Operating Assistance for Non-Urbanized Areas**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$56.000	\$56.000
05/06	FTA 5311			\$61.000	\$61.000
05/06	Loc Funds - City Funds			\$298.000	\$298.000
06/07	FTA 5311			\$122.000	\$122.000
06/07	TDA			\$487.000	\$487.000
07/08	FTA 5311			\$132.000	\$132.000
08/09	FTA 5311			\$139.000	\$139.000
09/10	FTA 5311			\$128.600	\$128.600
10/11	FTA 5311			\$128.500	\$128.500
10/11	TDA			\$103.500	\$103.500
11/12	FTA 5311			\$128.500	\$128.500
11/12	TDA			\$128.500	\$128.500
\$0				\$0	\$1,912,600
					\$1,912,600

Federal Total Cost **\$1,912,600**

Previously Approved FTIP

SBCAG ID # **SOLVANG02** Last Revised **Amendment 3**

Project Manager **Tully Clifford** Phone **(805) 688-5575**

Estimated Total Project Cost **\$1,295,000** RTP ID Number **SYVT 1**

Project Title **Transit Operating Assistance**

Project Description **City of Solvang - Transit Operating Assistance for Non-Urbanized Areas**

Fiscal Year	Revenue Source	Engineering	Right of Way	Construction	Total Revenue
04/05	FTA 5311			\$56.000	\$56.000
05/06	FTA 5311			\$61.000	\$61.000
05/06	Loc Funds - City Funds			\$298.000	\$298.000
06/07	FTA 5311			\$122.000	\$122.000
06/07	TDA			\$487.000	\$487.000
07/08	FTA 5311			\$132.000	\$132.000
08/09	FTA 5311			\$139.000	\$139.000
09/10	FTA 5311			\$128.600	\$128.600
10/11	FTA 5311			\$128.500	\$128.500
10/11	TDA			\$103.500	\$103.500
\$0				\$0	\$1,655,600
					\$1,655,600

Federal Project Total Cost **\$1,655,600**

A RESOLUTION OF THE SANTA BARBARA
COUNTY ASSOCIATION OF GOVERNMENTS

AMENDING THE 2011 FEDERAL)
TRANSPORTATION IMPROVEMENT)
PROGRAM TO ADD FEDERAL TRANSIT)
ADMINISTRATION (FTA) 5311 FUNDING)
TO THE 2011 FTIP)

RESOLUTION NO. 12-01

WHEREAS, Title 23 Code of Federal Regulations, part 450, and Title 49 Code of Federal Regulations, part 613, require the preparation and updating of a Federal Transportation Improvement Program (FTIP) by the Metropolitan Planning Organization; and

WHEREAS, the Santa Barbara County Association of Governments (SBCAG) has been designated as the Metropolitan Planning Organization for Santa Barbara County; and

WHEREAS, SBCAG, through the conduct of a continuing, comprehensive, and coordinated transportation planning process with applicable federal requirements, has prepared a 2011 FTIP; and

WHEREAS, the 2011 FTIP has been prepared in cooperation with state and local government agencies including Caltrans, local transit operators; and the Air Pollution Control District; and

WHEREAS, the 2011 FTIP must be consistent with the Regional Transportation Plan adopted pursuant to Government Code Section 6508; and

WHEREAS, the 2011 FTIP projects have been developed from the 2001 RTP, 2003 CMP, 2008 RTP and 2010 STIP; and

WHEREAS, all projects proposed for federal funding or approval under Title 23 Code of Federal Regulations and the Federal Transit Act must be included in a transportation improvement program; and

WHEREAS, because Santa Barbara County attained the federal eight-hour ozone standard, it is no longer required to follow air quality conformity measures nor the applicable State Implementation Plan (SIP) as required by the federal Clean Air Act (42 U.S.C. Section 7401 et seq.); and

WHEREAS, the 2011 FTIP was made available for public review and members of the public were given a reasonable opportunity to review the 2011 FTIP before it was adopted by the SBCAG Board on September 20, 2010; and

WHEREAS, revisions to the FTIP requested by Caltrans in order to incorporate the FTIP into the statewide FTIP by the end of the federal fiscal year were adopted by the SBCAG Board on September 20, 2010 after public review;

WHEREAS, the 2011 FTIP is financially constrained and funds needed to complete the 2011 FTIP are reasonably expected to be available.

NOW, THEREFORE, BE IT RESOLVED that the SBCAG Board of Directors amends the 2011 FTIP to include the changes identified in the Staff Report Item 7A, January 19, 2012.

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that development of the 2011 FTIP was made in accordance with public involvement procedures originally adopted by SBCAG on November 10, 1994 and updated on March 24, 2002 and December 20, 2007; and

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that 2011 FTIP is consistent with metropolitan planning regulations from 23 Code of Federal Regulations Part 450; and

BE IT FURTHER RESOLVED that the SBCAG Board of Directors finds that the amended 2011 FTIP is consistent with the 2008 RTP.

BE IT FURTHER RESOLVED that the 2011 FTIP as amended is financially constrained and funds needed to complete the 2011 FTIP are reasonably expected to be available.

BE IT FURTHER RESOLVED that because Santa Barbara County attained the federal eight-hour ozone standard, the 2011 FTIP is not required to follow air quality conformity measures nor the applicable State Implementation Plan (SIP) as required by the federal Clean Air Act (42 U.S.C. Section 7401 et seq.); and

BE IT FURTHER RESOLVED that the inclusion of any federally-funded projects in the 2011 FTIP, including all amendments, constitutes the federal project selection procedures for Santa Barbara County, and any projects programmed in the FTIP may proceed to implementation without further project selection action by SBCAG.

PASSED AND ADOPTED this 19TH day of January 2012 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

ATTEST:

Jim Kemp
Executive Director

Joe Armendariz, Chair
Santa Barbara County
Association of Governments

APPROVED AS TO FORM:

William Dillon
Senior Deputy, County Counsel