

STAFF REPORT

TO: TTAC

SUBJECT: Measure D Local, Regional and Paratransit Allocations, FY 2007-2008

MEETING DATE: June 7, 2007

AGENDA ITEM: 5

RECOMMENDATION:

Review and approve the recommended FY 2007-2008 Measure D Local, Regional and Paratransit Allocations.

STAFF CONTACT: Bob Perkins

DISCUSSION:

Attached for your review is the staff report to the SBCAG board concerning the Measure D local, regional and paratransit allocations for the 2007-2008 fiscal year. The recommended allocations for local agencies are identical to the apportionments previously approved by TTAC.

Upon SBCAG board approval of the allocations, Local Program funds will be disbursed monthly based primarily on population. Since disbursements will not be capped, local agencies can receive any revenues in excess of the estimate/allocation as they become available.

Disbursement of the Local Program funds is contingent upon submittal of an approved local program of projects pursuant to the Measure D Ordinance.

STAFF REPORT

SUBJECT: Measure D Allocations

MEETING DATE: June 21, 2007

AGENDA ITEM:

RECOMMENDATIONS:

- A. Adopt a resolution allocating an estimated \$32,962,323 in Measure D revenues for the 2007-2008 fiscal year.
- B. Authorize the Executive Director to execute a contract with Easy Lift for the expenditure of \$74,696 in Measure D Regional Paratransit funds for the 2007-2008 fiscal year.

STAFF CONTACT: Bob Perkins

DISCUSSION:

During FY 2007-2008 it is estimated that Measure D will generate \$33,464,288 in sales tax revenues. After deduction of the Board of Equalization collection fee (\$501,965), a total of \$32,962,323 is available to be allocated for Local Transportation Authority administration costs (\$329,623) and for transportation purposes (\$32,632,700). Per the Measure D Ordinance, 70% of the revenues are allocated to the cities and the County for local street, road and public transit purposes. The remaining 30% is allocated for specific highway projects listed in the Measure D Highway Program Strategic Plan and for public paratransit purposes.

Local Measure D Program - 70% of Measure D Revenues

Each city and the County receive a base allocation of \$50,000 and the remainder of the 70% is apportioned by population. As previously approved by the board, the FY 07-08 calculations use 2006 DOF population estimates with an adjustment applied for the revised final DOF estimate from the prior year. The amount recommended for allocation to each jurisdiction is shown in Table 1. Local agencies are required to spend these funds on projects that are specifically identified in the Measure D Program of Projects. All jurisdictions have prepared and adopted a Local Program of Projects and these have been incorporated into SBCAG's draft Measure D Program of Projects for fiscal years 2007-2008 to 2009-2010. Approval of the Program of Projects is scheduled for the June 21 meeting.

Local allocations will be disbursed on a monthly basis following adoption of the Program of Projects and allocations. To ensure that Measure D funds are expended in accordance with established rules and laws, local agencies will file quarterly financial transaction reports and will submit to an annual fiscal and compliance audit.

Member Agencies

Buellton ■ Carpinteria ■ Goleta ■ Guadalupe ■ Lompoc ■ Santa Barbara ■ Santa Maria ■ Solvang ■ Santa Barbara County

Regional Measure D Program - 30% of Measure D Revenues

The majority of Regional funds (98.44%) are allocated to the specific highway projects listed in the Measure D Highway Program Strategic Plan. The amount allocated to the highway program in FY 2007-2008 totals \$9,789,810. The remaining 1.56% of Regional funds, totaling \$152,966, is allocated to the four public paratransit operators to reduce fares and improve door-to-door transit service for seniors and the disabled. The recommended Regional paratransit allocations can be found in Table 2.

As the South Coast area public paratransit operator, Easy Lift is allocated a portion of regional paratransit funds (\$74,696). Because Easy Lift is not managed or operated by a city or county government, a contract is required between SBCAG and Easy Lift. This contract specifies how Easy Lift is to use the funds, detailing the services to be provided and all related requirements.

COMMITTEE REVIEW: The recommended allocations have been approved by the Technical Transportation Advisory Committee (TTAC).

Table 1

**Measure D
Local Program Allocations**

(FY 2007-08)

<u>Jurisdiction</u>	<u>Population</u>	<u>Allocations*</u>
Buellton	4,548	\$293,620
Carpinteria	14,172	794,800
Goleta	30,290	1,634,627
Guadalupe	6,423	387,675
Lompoc	41,915	2,258,048
Santa Barbara City	89,548	4,760,224
Santa Barbara County	139,156	7,420,354
Santa Maria	90,204	4,960,957
Solvang	5,369	332,585
Total	421,625	\$22,842,890

* figures include base allocation adjustments and adjustments to reflect revisions to previous DOF population estimates

Table 2

**Measure D Regional Program
Paratransit Allocations**

(FY 2007-08)

Apportionment Area	Claimants	Population	% of Total Population	Allocations
South Coast	Easy Lift	205,228	48.831720%	\$74,696
Santa Maria Valley	Santa Maria Area Transit	131,939	31.393418%	48,021
Lompoc Valley	Lompoc Transit	59,907	14.254204%	21,804
Santa Ynez Valley	Valley Transit	23,202	5.520658%	8,445
TOTAL		420,276	100.000000%	\$152,966

RESOLUTION OF THE SANTA BARBARA
COUNTY ASSOCIATION OF GOVERNMENTS

ALLOCATION OF MEASURE D FUNDS)
FOR FISCAL YEAR 2007-2008)
_____)

RESOLUTION NO. 07-11

WHEREAS, on November 7, 1989 the voters of Santa Barbara County approved the Santa Barbara Transportation Improvement Program Ordinance and Expenditure Plan known as Measure D; and

WHEREAS, it is estimated that \$32,962,323 in Measure D funds will be available for allocation in fiscal year 2007-2008; and

WHEREAS, the Ordinance and Expenditure Plan prescribe how the Local Transportation Authority shall allocate Measure D funds.

NOW, THEREFORE, BE IT RESOLVED THAT the Local Transportation Authority does hereby allocate Measure D revenues for fiscal year 2007-2008 and directs the County Auditor to make payments as funds become available in the following manner:

FIRST, to the County Auditor such sums as are necessary to administer Measure D funds. Such payments are to be made only upon specific approval of the Local Transportation Authority.

SECOND, to the Local Transportation Authority 1.0% to be deposited in Fund 5300, Dept. 861 for administration and implementation of the Santa Barbara County Transportation Improvement Program.

THENCE, of the remaining funds, 70% shall be allocated to local agencies pursuant to Section 3 of the Ordinance. From such funds each agency shall be paid an amount of \$4,166.67 monthly and the remainder of the 70% shall be paid in the percentage amounts shown below.

<u>Agency</u>	<u>% of Funds</u>
City of Buellton	1.087937
City of Carpinteria	3.326055
City of Goleta	7.076472
City of Guadalupe	1.507958
City of Lompoc	9.860488
City of Santa Barbara	21.034462
City of Santa Maria	21.930876
City of Solvang	1.261939
County of Santa Barbara	32.913813

THENCE, 0.468750% of the funds shall be allocated to the local public transit operators pursuant to Section 4(a) of the Ordinance. Funds from this account shall be distributed in the percentage amounts shown below:

<u>Agency</u>	<u>% of Funds</u>
Easy Lift, Inc.	48.831720
City of Lompoc	31.393418
City of Santa Maria	14.254204
City of Solvang	5.520658

THENCE, the remaining 29.531250% of funds shall be deposited in the Capital Projects Fund of the Authority (Fund 5302, Dept 863, Org. Unit 0001) and, after payments for debt service on revenue bonds, any funds remaining shall be credited to each project division of the Fund in the percentage amounts shown below. Interest earned on funds deposited in the Capital Projects Fund shall be deposited in Project No. 2470 – Project Reserve.

<u>Project No.</u>	<u>% of Funds</u>
2310 - Route 154	12.698413
2320 - Route 1	11.111111
2330 - Route 101 Widening	15.873016
2340 - Route 101 Milpas	4.232804
2360 - Route 101 / LaCumbre	8.465609
2370 - 154 South	5.291005
2380 - Glen Annie	5.291005
2390 - 154 North	5.291005
2400 - Route 101 / Betteravia	6.349206
2410 - Route 101 / Stowell	3.174603
2420 - Route 101 / Main	5.291005
2430 - Route 101 / Donovan	2.645503
2440 - Route 166	3.703704
2450 - Route 135 / Betteravia	1.587302
2460 - Hollister / Fairview	4.232804
2470 - Project Reserve	4.761905

PASSED AND ADOPTED this 21st day of June 2007 by the following vote:

AYES:

NOES:

ABSENT:

ABSTAIN:

ATTEST:

Jim Kemp
Executive Director

Jonny Wallis, Chair
Santa Barbara County
Association of Governments

APPROVED AS TO FORM:

Kevin E. Ready, Sr.
Deputy County Counsel

PARATRANSIT CONTRACT

This contract is made between the Santa Barbara County Local Transportation Authority, hereinafter called "LTA", and Easy Lift Transportation, hereinafter called "CONTRACTOR".

LTA AND CONTRACTOR AGREE AS FOLLOWS:

1. PROGRAM DESCRIPTION AND AUTHORITY; OBJECTIVES AND REPORTS

A. CONTRACTOR agrees to provide services and benefits described in Exhibit "A" attached hereto and by this reference made a part hereof, sometimes hereinafter referred to as "the program." To the extent that any procedure or provision of the proposed program conflicts with any provision of this contract, the provisions of this contract shall prevail.

B. Minor program changes may be made to Exhibit A (General Description) upon approval of the LTA.

C. In carrying out this program hereunder, CONTRACTOR agrees that the services and benefits of the program are those stated and set out in Exhibit "A", attached hereto and made a part hereof. CONTRACTOR agrees to submit to Local Transportation Authority the mandatory quarterly reports setting out CONTRACTOR's progress toward accomplishing said services and benefits, and CONTRACTOR further agrees to submit to Local Transportation Authority a mandatory final report. The report shall show calculation of fare subsidies, if any, and identify the amount of Measure D paratransit funds applied as a local contribution to the farebox/existing service subsidy.

2. EFFECTIVE DATE OF CONTRACT - TERM

The term of this contract shall be from July 1, 2007 to and including June 30, 2008, unless sooner terminated as provided herein and further provided that if not so terminated, the term of this contract may be extended by mutual written agreement by the LTA and CONTRACTOR.

3. METHOD AND CONDITIONS OF PAYMENT

LTA agrees to pay CONTRACTOR for services provided in an amount estimated at \$74,696. Payments will be made to CONTRACTOR in monthly installments equal to 0.228899% of Measure D Funds after deductions for County Auditor-Controller and LTA administration fees pursuant to the allocation process specified in SBCAG Resolution No. 07-11 and LTA Ordinance Number 1.

4. CONTRACTOR'S RECORDS

The CONTRACTOR shall keep accurate written records of all expenses incurred by it and of moneys received by it and of all studies, statistics and reports made or issued by CONTRACTOR in conducting the program. The CONTRACTOR shall also keep accurate written minutes of all meetings of the Board of Directors or Committees of CONTRACTOR and shall keep accurate employment records, correspondence records and other records necessary to enable LTA to review CONTRACTOR'S operations during the conduct of the program. At LTA'S request, CONTRACTOR shall furnish LTA with a copy of any records maintained by CONTRACTOR pursuant to the terms of this contract. CONTRACTOR shall maintain all such records for at least three (3) years after the date on which this contract terminates.

5. AUDIT OF CONTRACTOR'S RECORDS

LTA shall have the right to audit and review all records maintained by CONTRACTOR pursuant to the terms of this contract. Any such audit and review may be conducted at any time during regular business hours.

6. SUBMISSION OF BUDGET - BUDGET ACCOUNTABILITY

Prior to the execution of this contract by LTA, CONTRACTOR shall submit to the LTA for their review a budget showing CONTRACTOR'S proposed expenditures containing a breakdown of said expenditures by major categories and subcategories. Said budget shall be attached hereto, marked Exhibit "B" budget, and made a part hereof.

7. COMPLIANCE WITH LAWS AND REGULATIONS

CONTRACTOR agrees that it shall comply with all the provisions of all State and Federal laws and regulations applicable to the program to be conducted hereunder. Without limiting the generality of the foregoing, CONTRACTOR:

A. Shall obtain and maintain, at CONTRACTOR'S own expense, any and all licenses and permits necessary to conduct the program and to maintain the facilities and render the services proposed to be maintained or rendered in connection with the program.

B. Shall not, on the grounds of race, color, national origin, sex, sexual orientation, religion, age or handicap when otherwise qualified:

- (1) Deny any service or other benefit provided under the program;
- (2) Provide any service or other benefit which is different, or is provided in a different form from that provided to others under the program;
- (3) Subject to segregated or separate treatment in any facility or in any matter or process related to receipt of any service or benefit under the program;

- (4) Restrict in any way the enjoyment of any advantage or privilege enjoyed by others receiving any service or benefit under the program;
- (5) Treat an individual differently from others in determining whether he satisfies any admission, enrollment, eligibility, membership, or other requirement or condition which individuals must meet in order to be provided any services or other benefit provided under the program;
- (6) Deny an opportunity to participate in the program as an employee.

C. Shall maintain such records and enforce and comply with such procedure at LTA may specify or require in order to ensure that only persons eligible for services under State and/or Federal laws or regulations are admitted to the program or are provided with such services.

D. Shall not engage in any religious instructions nor use any part of the funds provided hereunder to purchase any religious book, materials or equipment or other property, or to share the salary of any person who participated in any such religious or sectarian purpose whatsoever.

8. INDEPENDENT CONTRACTOR STATUS

It is understood and agreed by the parties hereto that CONTRACTOR, while engaged in conducting the program and complying with any of the terms of this contract, is an independent contractor and is not an officer, agency or employee of the LTA.

9. INDEMNIFICATION

CONTRACTOR shall defend, indemnify and safe harmless the LTA, its officers, agents and employees from any and all claims, demands, damages, costs expenses (including attorney's fees), judgments or liabilities arising out of this contract or occasioned by the performance or attempted performance of the provisions hereof; including but not limited to, any act or omission to act on the part of the CONTRACTOR or his agents or employees or other independent contractors directly responsible to him; except those claims, demands, damages, costs, expenses (including attorney's fees), judgments or liabilities resulting solely from the negligence or willful misconduct of the LTA. With respect to any and all claims, demands, damages, costs, expenses (including attorney's fees), judgments or liabilities arising from the joint or concurrent negligence of CONTRACTOR and LTA, each party shall assume responsibility in proportion to the degree of its respective fault.

10. INSURANCE

As part of the consideration of this Agreement, CONTRACTOR agrees to purchase and maintain at its sole cost and expense during the life of this agreement the following insurance with an insurer or insurers satisfactory to the LTA.

A. General and Automobile Liability: Combined single limits of not less than one million dollars (\$1,000,000) of Comprehensive General Liability and one million (\$1,000,000) of Comprehensive Automobile Liability Insurance, including Bodily Injury and Property Damage.

Such insurance shall include:

- (1) Extension of coverage to LTA, its officers, employees and agents, as additional insured, with respect to CONTRACTOR'S liabilities hereunder in insurance coverage identified in item "A" above, but only as respects to the operations of the named insured.
- (2) A provision that coverage will not be canceled or subject to reduction until at least (30) days prior written notice has been given to the LTA, addressed to 260 N. San Antonio Rd., Suite B, Santa Barbara, CA 93110.
- (3) A provision the CONTRACTOR'S insurance shall apply as primary, and not excess of, or contributing with, the LTA.
- (4) Contractual liability coverage sufficiently broad so as to include the liability assumed by the CONTRACTOR in the indemnity or hold harmless agreement included in this Agreement.
- (5) A Cross-Liability Clause, or equivalent wording, stating that coverage will apply separately to each named or additional insured as if separate policies had been issued each.
- (6) Broad form Property Damage Endorsement.
- (7) Policy shall apply on an "occurrence" basis.

B. Worker's Compensation: In accordance with the provisions of the California Labor Code, CONTRACTOR is required to be insured against liability for Worker's Compensation or to undertake self-insurance.

C. Professional Liability: Professional liability "errors and omission" insurance with limits of liability of not less than one million (\$1,000,000) to cover all services rendered by the CONTRACTOR pursuant to this agreement.

Approval of the insurance by the LTA or acceptance of the certificate of insurance by LTA, shall not relieve or decrease the extent to which the CONTRACTOR may be held responsible for payment of damages resulting from Agreement, nor shall it be deemed a waiver of LTA's rights to insurance coverage hereunder. A Certificate of Insurance, supplied by the CONTRACTOR, evidencing the above, shall be completed by CONTRACTOR's insurer or its agent and submitted to the LTA prior to execution of this Agreement by the LTA. CONTRACTOR shall

exercise due diligence to require all subcontractors and all tiers of such subcontractors to provide general and Automobile Liability and Worker's Compensation, and Professional Liability insurance as set forth in "A" of this section.

In the event of any proposed change in coverage or cancellation of all or any part of such coverage, CONTRACTOR shall immediately notify the LTA in writing and shall obtain other additional insurance to retain full coverage as described herein during the entire term of this contract. Breach of these provisions for insurance coverage shall be grounds for immediate cancellation of this contract by the LTA.

11. ASSIGNMENT

CONTRACTOR shall not assign this contract or any part thereof or any moneys payable hereunder without the prior approval of the LTA.

12. PROVISIONS REQUIRED BY LAW DEEMED INSERTED

Each and every provision of law and clause required by law to be inserted in this contract shall be deemed to be inserted herein and this contract shall be read and enforced as though it were included herein, and if through mistake or otherwise any such provision is not inserted or is not correctly inserted then upon application of either party, this contract shall forthwith be physically amended to make such insertion or correction.

13. LTA'S RIGHT TO TERMINATE CONTRACT

LTA shall have the right to terminate this contract or any extension thereof immediately if LTA determines that CONTRACTOR has incurred obligations or made expenditures for purposes that are not permitted or prohibited under terms of the program or of this contract. LTA shall also have the right to terminate this contract or any extension thereof immediately if LTA determines that the CONTRACTOR is conducting the program in violation of any of the terms of the program application or this contract, or has filed any other petition under the Bankruptcy Act (11 USCA "1 et seq.), or has taken or committed any act preparatory to the filing of any such petition or has become or is insolvent or has committed any other act of bankruptcy or insolvency. LTA shall also have the right to terminate this contract or any extension thereof any time that funds are no longer available. In any event, LTA shall have the right to terminate this contract or any extension thereof at any time, with or without notice LTA'S intent to terminate this contract; provided that upon such termination, LTA shall pay all obligations incurred by CONTRACTOR prior to the date of such termination as authorized under the terms of the program and this contract.

14. CONTRACT BINDING ON SUCCESSORS

The conditions of this contract and the respective rights and obligations of the parties hereunder shall be binding upon the heirs, assigns and successors in interest of each of the parties.

15. NOTICES

Notices shall be sent to CONTRACTOR addressed as follows:

Easy Lift Transportation, Inc.
53 Cass Pl., Suite D
Goleta, CA 93117

Notices shall be sent to the LTA addressed as follows:

Local Transportation Authority
260 N. San Antonio Rd., Suite B
Santa Barbara, CA 93110

Jonny Wallis, Chair
Santa Barbara County
Association of Governments

Date

Mac A. Johnson, President
Easy Lift Board of Directors

Date

Jim Kemp, Executive Director
Santa Barbara County
Association of Governments

Date

Ernesto Paredes, Executive Director
Easy Lift Transportation

Date

APPROVED AS TO FORM:

Kevin E. Ready, Sr.
Deputy County Counsel

EXHIBIT A

General Description

Services Provided by Easy-Lift

Door-to-door transportation services for seniors and elderly and handicapped throughout the South County area.

Other Allowable Uses of Funds

In Priority Order:

- Operating subsidy for transit service improvements
- Local contribution of the farebox to meet TDA requirements.
- Operating subsidy for existing service.

EXHIBIT B

EASY LIFT TRANSPORTATION BUDGET 2007/2008

		<u>Jul '07 - Jun '08</u>	
Ordinary Income/Expense			
Income			
4101 - FUNDRAISING/DONATIONS	211,550 00		
4104 - STATE STA CAPITAL	40,000 00		
4120 - MTOIADA FEES	387,754 00		
4152 - HEALTH CARE SERVICES	32,000 00		
4174 - REFUNDS ON FEES/ FUEL TAX	2,800 00		
4176 - EZ RADIO NETWORK	546 00		
4177 - CASH FARES	44,400 00		
4186 - RIDE TICKET SALES	36,000 00		
4187 - SUBSCRIPTIONS/CHARTERS	21,907 00		
4190 - STATE TDA/LTF	368,476 00		
4192 - MEASURE D- REGIONAL	76,008 00		
4193 - MEASURE D- SB CITY	214,408 00		
4194 - MEAS D- SB COUNTY	56,540 00		
4195 - MEAS D- CITY OF CARP.	12,612 00		
4199 - MEASURE D-GOLETA	25,707 00		
4201 - INTEREST INCOME	3,960 00		
Total Income	<u>1,535,074 00</u>		
Expense			
5201 - HEALTH INSURANCE	76,392 00	5422 - MERCHANT FEES/BANK CHARGES	780 00
5202 - LIFE INSURANCE	2,088 00	5425 - PRINTING	5,160 00
5203 - UNIFORMS	600 00	5432 - TELEPHONE/INTERNET	16,200 00
5204 - PHYSICALS		5433 - SECURITY	560 00
5204-A - DRUG TESTING	1,200 00	5500 - VEHICLE MAINTENANCE	75,000 00
5204 - PHYSICALS - Other	<u>1,800 00</u>	5515 - FUEL	144,000 00
Total 5204 - PHYSICALS	3,000 00	5520 - LICENSE FEES/SMOG	1,980 00
5205 - WORKERS COMPENSATION	134,004 00	5601 - INSURANCE/AUTO	72,000 00
5210 - OTHER EMPLOYEE BENEFITS	4,100 00	5602 - GEN. LIABILITY INSURANCE	7,764 00
5300 - OUTSIDE SERVICES		5603 - D&D and EMP. PRACTICES INS.	4,080 00
5300A - OUTSIDE SERVICES PAYROLL PROC.	2,700 00	5701 - GUES	950 00
5300B - WORK STUDY INTERNS	4,600 00	5702 - EDUCATION & TRAINING	2,040 00
5300C - OUTSIDE SERVICES - OTHER	<u>1,020 00</u>	5703 - MEETINGS	900 00
Total 5300 - OUTSIDE SERVICES	8,320 00	5704 - EMPLOYEE MEETINGS	600 00
5301 - PROF/LEGAL CONSULT	5,500 00	5705 - TRAVEL/MILEAGE	3,480 00
5302 - PROF/CPA	10,000 00	5751 - FUNDRAISING/PROMOTIONS	14,000 00
5303 - PROF/IT CONSULT	3,500 00	5755 - ADVERTISING/EMPLOYMENT	480 00
5402 - COMPUTER SUPPLIES	300 00	5859 - INTEREST	720 00
5405 - OFFICE REPAIRS & MAINT	4,800 00	6560 - PAYROLL EXPENSES	
5409 - UTILITIES	3,750 00	6562 - INCENTIVE BONUSES	5,000 00
5410 - RENT	35,384 00	6565 - CASH FRINGE BENEFITS	5,400 00
5411 - RADIO REPEATER FEES	6,216 00	6560 - PAYROLL EXPENSES - Other	<u>806,000 00</u>
5413 - LEASE/POSTAGE METER	1,823 00	Total 6560 - PAYROLL EXPENSES	816,400 00
5415 - OFFICE SUPPLIES	7,560 00	6564 - PAYROLL TAX EXPENSE	72,990 00
5416 - EQUIPMENT PURCHASE	3,200 00	Total Expense	<u>1,553,381 00</u>
5420 - POSTAGE	1,620 00	Net Ordinary Income	<u>-18,307 00</u>
		Net Income	<u><u>-18,307 00</u></u>